

12-31-01

7/Re

Please type a plus sign (+) inside this box [+]

PTO/SB/50 (12/97)

Approved for use through 09/30/00. OMB 0651-0033

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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REISSUE PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 005386.P001

First Named Inventor George R. Garrick, et al.

Original Patent No. 5,968,125

Original Patent Issue Date October 19, 1999

(Month/Day/Year)

Express Mail Label No. EL627465755US

Total Pages 2

ADDRESS TO: **Assistant Commissioner for Patents**
Box Reissue
Washington, D.C. 20231

APPLICATION FOR REISSUE OF:

(check applicable box)

☒ [X]

Utility Patent

☐ []

Design Patent

☐ []

Plant Patent

APPLICATION ELEMENTS

1. ☒ X Fee Transmittal Form (PTO/SB/56) (Submit an original, and a duplicate for fee processing)
2. ☒ X Specification (amended, if appropriate)
3. ☒ X Drawings(s) (proposed amendments, if appropriate)
4. ☒ X Reissue Oath/Declaration (original or copy) (37 CFR 1.175) (PTO/SB/51 or 52) UNSIGNED
5. Original U.S. Patent
☒ X Offer to Surrender Original Patent (37 CFR 1.178) (PTO/SB/53 or PTO/SB/54)
OR
☐ Ribboned Original Patent Grant
☐ Affidavit/Declaration of Loss(PTO/SB/55)
6. Original U.S. patent currently assigned?
☒ X Yes
☐ No
(If Yes, check applicable box(es))
☒ X Written Consent of all Assignees (PTO/SB/53 or 54) UNSIGNED
☐ 37 CFR 3.73(b) Statement
☐ Power of Attorney
7. ☒ X Transfer drawings from Patent File

ACCOMPANYING APPLICATION PARTS

8. ☐ Foreign Priority Claim (35 USC 119) (if applicable)
9. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
☐ b. Copies of IDS Citations
10. ☐ English Translation of Reissue Oath/Declaration (if applicable)
11. ☐ a. Small Entity Statements(s)
☐ b. Statement filed in prior application, Status still proper and desired
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Other: _____

15. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label _____
(Insert Customer No. or Attach Bar Code Label here)

or

☒ Correspondence Address Below

NAME Judith A. Szepesi Reg. No. 39,393
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


ADDRESS 12400 Wilshire Boulevard
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

SUBMITTED BY:

Typed or Printed Name: Judith A. Szepesi

Signature:  Date: 10/19/01

Reg. Number: 39,393 Telephone Number: (408) 720-8300

FEE TRANSMITTAL FOR FY 2002**TOTAL AMOUNT OF PAYMENT (\$)** \$1172.00**Complete if Known:****Application No.** Not Yet Assigned**Original Patent Number:** 5,968,125**Filing Date** October 19, 2001**Issue Date:** October 19, 1999**First Named Inventor** George R. Garrick, et al.**Group Art Unit** Not Yet Assigned**Examiner Name** Not Yet Assigned**Attorney Docket No.** 005863.P001**METHOD OF PAYMENT (check one)**

1. ☒ **The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:**

Deposit Account Number 02-2666**Deposit Account Name** _____☐ **Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17**☐ **Applicant claims small entity status. See 37 CFR 1.27**

2. ☒ **Payment Enclosed:** ☒ **Check**
☐ **Money Order**
☐ **Other**

FEE CALCULATION**1. BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
101	740	201	370	Utility application filing fee	_____
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	<u>\$740.00</u>
114	160	214	80	Provisional application filing fee	_____

SUBTOTAL (1) \$740.00**2. EXTRA CLAIM FEES**

<u>Extra Claims</u>			<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>30</u>	- 20** =	<u>10</u>	X <u>18.00</u> = <u>\$180.00</u>
Independent Claims	<u>6</u>	- 3** =	<u>3</u>	X <u>84</u> = <u>\$252.00</u>
Multiple Dependent				<u> </u> = <u> </u>

****Or number previously paid, if greater; For Reissues, see below.**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 432.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
099	8,800	099	8,800	Request for inter parties reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
148	110	248	55	Statutory Disclaimer	
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal pub.	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Judith A. Szepesi

Signature:  Date: 10/19/01

Reg. Number: 39,393 Telephone Number: (408) 720-8300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:)
)
George R. Garrick, et al.)
)
Patent No.: 5,968,125) Examiner: Unknown
)
Issued: October 19, 1999) Art Unit: Unknown
)
For: PROCESS FOR OPTIMIZING THE)
EFFECTIVENES S OF A HYPERTEXT)
ELEMENT)
Box Reissue
Assistant Commissioner of Patents
Washington, D.C. 20231

CONSENT OF ASSIGNEE TO REISSUE AND STATEMENT OF OWNERSHIP

Dear Sir:

Applicant respectfully hereby provides a statement of ownership and a statement of consent of the assignee for the above referenced reissue application in compliance with MPEP §1410.01.

NetROI LLC is the assignee of U.S. Patent Number 5,968,125, and consents to the filing of the reissue of the above referenced patent.

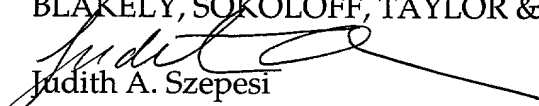
As President of NetROI LLC, I am empowered to sign a submission establishing ownership interest in a patent for NetROI LLC.

George R. Garrick
President, NetROI LLC

Date

If there is a deficiency in fees, please charge our Deposit Account No. 02-2666.

Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP


Judith A. Szepesi
Reg. No. 39,393

12400 Wilshire Blvd, Seventh Floor
Los Angeles, CA 90026-1026
(408) 720-8300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

George R. Garrick, et al.

Patent No.: 5,968,125

Issued: October 19, 1999

For: PROCESS FOR OPTIMIZING THE
EFFECTIVENESS OF A HYPERTEXT
ELEMENT

Examiner: Unknown

Art Unit: Unknown

Box Reissue

Assistant Commissioner of Patents

Washington, D.C. 20231

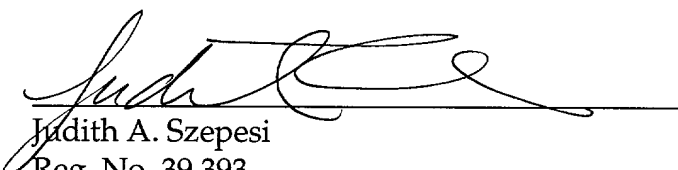
OFFER TO SURRENDER ORIGINAL PATENT UNDER MPEP §1416 AND 37 CFR § 1.178

Dear Sir:

The original Patent for PROCESS FOR OPTIMIZING THE EFFECTIVENESS OF A HYPERTEXT ELEMENT, U.S. Patent Number 5,968,125, is not being submitted with the accompanying reissue application. Its surrender, however, is hereby proffered and it will be forwarded to the United States patent and Trademark Office upon my being informed that the reissue application is in condition for allowance.

If there is a deficiency in fees, please charge our Deposit Account No. 02-2666.

Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Date: 10/19/01
Judith A. Szepesi
Reg. No. 39,393

12400 Wilshire Blvd, Seventh Floor
Los Angeles, CA 90026-1026
(408) 720-8300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:)
George R. Garrick, et al.)
Original Patent No.: 5,968,125) Examiner: Unknown
Issued: October 19, 1999) Art Unit: Unknown
For: PROCESS FOR OPTIMIZING THE)
EFFECTIVENESS OF A HYPERTEXT)
ELEMENT)
Assistant Commissioner of Patents
Washington, D.C. 20231

REISSUE APPLICATION

Dear Sir:

Applicants respectfully request entry of the following amended as follows:

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number: EL627465755US

Date of Deposit: October 19, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner for Patents, Washington, D. C. 20231

Pamala Stephenson

(Typed or printed name of person mailing paper or fee)

Pamala Stephenson

(Signature of person mailing paper or fee)

October 19, 2001

(Date signed)

"Express Mail" mailing label number: EL627465755US

Date of Deposit: October 19, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner for Patents, Washington, D. C. 20231

Pamala Stephenson

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date signed)

Serial/~~Pub~~ No.: Not Yet Assigned Filing/~~Pub~~ Date: October 19, 2001

Client: Net.Roi

Title: PROCESS FOR OPTIMIZING THE EFFECTIVENESS OF A
HYPERTEXT ELEMENT

BSTZ File No.: 005386.P001

Atty/Secty Initials: JHS/JAS/pjs

Date Mailed: October 19, 2001

Docket Due Date: *****

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | |
|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input checked="" type="checkbox"/> Express Mail No. <u>EL627465755US</u> Check No. <u>46059</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input type="checkbox"/> _____ Month(s) Extension of Time Amt: <u>\$1172.00</u> |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO 149 (____ pgs.) <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal Amt: _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input type="checkbox"/> Petition for Extension of Time |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input type="checkbox"/> Petition for _____ |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input type="checkbox"/> Postcard |
| <input checked="" type="checkbox"/> Application - Reissue | <input type="checkbox"/> Power of Attorney (____ pgs.) |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input checked="" type="checkbox"/> Preliminary Amendment (<u>11</u> pgs.) |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (____ pgs.) |
| <input checked="" type="checkbox"/> Certificate of Mailing | <input type="checkbox"/> Response to Notice of Missing Parts |
| <input checked="" type="checkbox"/> Declaration & POA (<u>5</u> pgs.) (Unsigned) | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate |

Other: Consent of Assignee to Reissue and Statement of
Ownership (Unsigned), Offer to Surrender Original Patent
(Unsigned), Copy of US Patent 5,968,125